



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/670,987	09/24/2003	Irina Schaf	10022/321

CONFIRMATION NO. 4514

28164  
 BRINKS HOFER GILSON & LIONE  
 P O BOX 10395  
 CHICAGO, IL 60610

## FORMALITIES LETTER



\*OC000000011533369\*

Date Mailed: 12/19/2003



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

07/20/2004 MBELETE1 00000002 10670987  
 01 FC:1001  
 02 FC:1051  
 03 FC:1202

0770.00  
 130.00  
 100.00

0770.00 The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$180 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1080 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$180

- \$180 for 10 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

*N. Mohammed*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



"Express Mail" mailing label number EV079489415US  
Date of Deposit July 16, 2004

Case No. 10022-321  
Accenture: 01479-00/US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Irina Schaf, et al.

Serial No.: 10/670,987

Attention: Manager,  
Application Branch

Filed: September 24, 2003

For: QUANTIFICATION OF  
OPERATIONAL RISKS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn.: Mail Stop Missing Parts

Dear Sir:

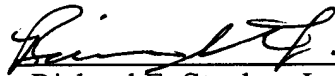
In accordance with the Notice to File Missing Parts of Application Filing Date  
Granted dated December 19, 2003, a copy of which is attached, enclosed herewith for  
filing are the following documents:

- ☒ Executed Declarations for Patent Application for Irina Schaf, Michael Buttler  
and Rüdiger Stern for the above-referenced patent application.
- ☒ Applicant:
  - ☐ claims small entity status. See 37 CFR1.27.
  - ☒ is other than small entity
- ☒ Checks totaling \$1080
- ☒ Filing Fee of \$770.

- ☒ Surcharge of \$130.
- ☒ Additional Claim Fees of \$180
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate) and Fee \$2,010.
- ☒ Other: Assignments and Assignment Recordal Sheet (7p) and Fee \$40.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: July 16, 2004



Richard E. Stanley, Jr.  
Registration No. 45,662  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200